

SAARTHI DREAM

AMFI-Registered Mutual Fund Distributor | ARN-173110

INVESTOR COMPLAINT FORM

Name	
Mobile	
Email	
Folio No(s)	
Scheme / AMC	

Nature of complaint (tick)

- | | |
|--|--|
| ☐ Transaction not processed / delayed | ☐ SIP not started / stopped |
| ☐ Wrong scheme or plan | ☐ Redemption / payout delay |
| ☐ Statement / CAS not received | ☐ Service or communication |
| ☐ Commission / disclosure | ☐ KYC / documentation |
| ☐ Mis-selling / unsuitability | ☐ Data privacy |
| ☐ Other (describe below) | |

Details of the complaint

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I confirm the details above are true. I consent to Saarthi Dream sharing them with the concerned AMC / Registrar to resolve this complaint. I understand the complaint will be acknowledged within 3 working days and resolved within 15 working days.

Signature: _____

Date: _____

For office use: Ref. No. _____ Received on _____ Resolved on _____

Submit to: Mr. Manoj Amarwal | +91-97838 01043 | info@sdfinancialsolutions.com | 2nd Floor, India Heights,
Above ICICI Bank, Kutchery Road, Ajmer-305001

Not resolved in 15 working days? Escalate to the concerned AMC, then SEBI SCORES
(<https://scores.sebi.gov.in/>) and SMART ODR (<https://smartodr.in/>).